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**Nickie Chapman**  
Vice President, Operations & Compliance

#### EXPERIENCE

Nickie Chapman is the Vice President of Operations & Compliance for Sikich Investment Banking and the Financial and Operations Principal (FINOP) for Sikich Corporate Finance. Nickie has over 13 years of operations and transaction related experience in mergers and acquisitions. She is responsible for departmental policy and procedural development and implementation, accounting, database administration, marketing material creation and coordinating support from other Sikich divisions.

In her role as FINOP, Nickie is responsible for compliance, recordkeeping, financial reporting and other regulatory filings.

Prior to joining Sikich, Nickie spent more than seven years in the merger and acquisition group at ABN AMRO/LaSalle Bank in Chicago. Additionally, she spent two years with venture capital fund, Blue Star Ventures, L.P.

Nickie is registered with the Financial Industry Regulatory Authority (FINRA) as a Series 28 Introducing Broker-Dealer Financial and Operations Principal, a Series 24 General Securities Principal, a Series 79 Investment Banking Representative and a Series 99 Operations Professional.

#### EDUCATION

B.A. Organizational Development, DePaul University